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The Suitability of the L<sup>A</sup>T<sub>E</sub>X Text Formatter  
for Thesis Preparation by Technical and  
Non-technical Degree Candidates

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A dissertation<sup>†</sup>  
submitted in partial fulfillment of the  
requirements for the degree of

Doctor of Philosophy

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Reading Committee:

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Program Authorized to Offer Degree:  
UW Information Technology

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<sup>†</sup>an egocentric imitation, actually



University of Washington

**Abstract**

The Suitability of the L<sup>A</sup>T<sub>E</sub>X Text Formatter  
for Thesis Preparation by Technical and  
Non-technical Degree Candidates

Jim Fox

Chair of the Supervisory Committee:  
Title of Chair Name of Chairperson  
Department of Chair

This sample dissertation is an aid to students who are attempting to format their theses with L<sup>A</sup>T<sub>E</sub>X, a sophisticated text formatter widely available at the University of Washington and other institutions of higher learning.

- It describes the use of a specialized macro package developed specifically for thesis production at the University. The macros customize L<sup>A</sup>T<sub>E</sub>X for the correct thesis style, allowing the student to concentrate on the substance of his or her text.<sup>1</sup>
- It demonstrates the solutions to a variety of formatting challenges found in thesis production.
- It serves as a template for a real dissertation.

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<sup>1</sup>See Appendix A to obtain the source to this thesis and the style file.



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## GLOSSARY

ARGUMENT: replacement text which customizes a  $\text{\LaTeX}$  macro for each particular usage.

BACK-UP: a copy of a file to be used when catastrophe strikes the original. People who make no back-ups deserve no sympathy.

CONTROL SEQUENCE: the normal form of a command to  $\text{\LaTeX}$ .

DELIMITER: something, often a character, that indicates the beginning and ending of an argument. More generally, a delimiter is a field separator.

DOCUMENT CLASS: a file of macros that tailors  $\text{\LaTeX}$  for a particular document. The macros described by this thesis constitute a document class.

DOCUMENT OPTION: a macro or file of macros that further modifies  $\text{\LaTeX}$  for a particular document. The option `[chapternotes]` constitutes a document option.

FIGURE: illustrated material, including graphs, diagrams, drawings and photographs.

FONT: a character set (the alphabet plus digits and special symbols) of a particular size and style. A couple of fonts used in this thesis are twelve point roman and *twelve point roman slanted*.

FOOTNOTE: a note placed at the bottom of a page, end of a chapter, or end of a thesis that comments on or cites a reference for a designated part of the text.

FORMATTER: (as opposed to a word-processor) arranges printed material according to instructions embedded in the text. A word-processor, on the other hand, is normally controlled by keyboard strokes that move text about on a display.

$\LaTeX$ : simply the ultimate in computerized typesetting.

MACRO: a complex control sequence composed of other control sequences.

PICA: an archaic unit of length. One pica is twelve points and six picas is about an inch.

POINT: a unit of length. 72.27 points equals one inch.

ROMAN: a conventional printing typestyle using serifs. the decorations on the ends of letter strokes. This thesis is set in roman type.

RULE: a straight printed line; e.g., \_\_\_\_\_.

SERIF: the decoration at the ends of letter strokes.

TABLE: information placed in a columnar arrangement.

THESIS: either a master's thesis or a doctoral dissertation. This document also refers to itself as a thesis, although it really is not one.

## ACKNOWLEDGMENTS

The author wishes to express sincere appreciation to University of Washington, where he has had the opportunity to work with the T<sub>E</sub>X formatting system, and to the author of T<sub>E</sub>X, Donald Knuth, *il miglior fabbro*.

## DEDICATION

to my dear wife, Joanna

## Chapter 1

## INTRODUCTION

The utility of a clean, professionally prepared thesis is well documented<sup>1</sup> but, until recently, a degree candidate had no recourse but to submit his or her thesis to a typist for completion. Revisions were difficult and time consuming, and even at its best the resultant thesis still looked typed. The advent of computerized typesetting has revolutionized thesis preparation, and T<sub>E</sub>X in particular brings to the university student the power and flexibility of an ‘industrial-strength’ typesetter.

T<sub>E</sub>X is a flexible, complete, and professional typesetting system. It has been programmed to produce the same document on all machines, so a suitable printer can always be found for the final copy while drafts are made on more conventional and inexpensive printers. The ‘suitable’ standard is a 300 dot-per-inch laser printer, which is excellent for thesis production. Many such laser printers are available about the campus.

### ***1.1 The Purpose of This Sample Thesis***

This sample is both a demonstration of the quality and propriety of a L<sup>A</sup>T<sub>E</sub>X<sup>2</sup> formatted thesis, and is documentation for the preparation of a thesis. It has made extensive use of a custom class file developed specifically for this purpose at the University of Washington. Chapter II discusses T<sub>E</sub>X and L<sup>A</sup>T<sub>E</sub>X. Chapter III describes the additional macros and functions provided by the custom thesis class file. Finally, Chapter IV discusses some special problems due to the inherent differences among the various computers and printers that support L<sup>A</sup>T<sub>E</sub>X.

It is impossible to predict all the formatting problems one will encounter and there will be problems that are best handled by a specialist. The Graduate School may be able to

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<sup>1</sup>See, for example, W. Shakespeare[8] for a recent discussion.

<sup>2</sup>We mean the L<sup>A</sup>T<sub>E</sub>X 2<sub>ε</sub> version of L<sup>A</sup>T<sub>E</sub>X. Earlier versions, now called L<sup>A</sup>T<sub>E</sub>X2.10 were much different.

help you find help. Some departments may also be able to provide L<sup>A</sup>T<sub>E</sub>X assistance.

## **1.2 Conventions and Notations**

In this thesis the typist refers to the user of L<sup>A</sup>T<sub>E</sub>X—the one who makes formatting decisions and chooses the appropriate formatting commands. He or she will most often be the degree candidate.

This document deals with L<sup>A</sup>T<sub>E</sub>X typesetting commands and their functions. Wherever possible the conventions used to display text entered by the typist and the resulting formatted output are the same as those used by the T<sub>E</sub>Xbooks. Therefore, `typewriter type` is used to indicate text as typed by the computer or entered by the typist. It is quite the opposite of *italics*, which indicates a category rather than exact text. For example, `alpha` and `beta` might each be an example of a *label*.

## **1.3 Nota bene**

This sample thesis was produced by the L<sup>A</sup>T<sub>E</sub>X document class it describes and its format is consonant with the Graduate School's electronic dissertation guidelines[11]. However, use of this package does not guarantee acceptability of a particular thesis.

## Chapter 2

### A BRIEF DESCRIPTION OF T<sub>E</sub>X

The T<sub>E</sub>X formatting program is the creation of Donald Knuth of Stanford University. It has been implemented on nearly every general purpose computer and produces exactly<sup>1</sup> the same copy on all machines.

#### ***2.1 What is it; why is it spelled that way; and what do really long section titles look like in the text and in the Table of Contents?***

T<sub>E</sub>X is a formatter. A document's format is controlled by commands embedded in the text. L<sup>A</sup>T<sub>E</sub>X is a special version of T<sub>E</sub>X—preloaded with a voluminous set of macros that simplify most formatting tasks.

T<sub>E</sub>X uses *control sequences* to control the formatting of a document. These control sequences are usually words or groups of letters prefaced with the backslash character (`\`). For example, Figure 2.1 shows the text that printed the beginning of this chapter. Note the control sequence `\chapter` that instructed T<sub>E</sub>X to start a new chapter, print the title, and make an entry in the table of contents. It is an example of a macro defined by the L<sup>A</sup>T<sub>E</sub>X macro package. The control sequence `\TeX`, which prints the word T<sub>E</sub>X, is a standard macro from the *T<sub>E</sub>Xbook*. The short control sequence `\\` in the title instructed T<sub>E</sub>X to break the title line at that point. This capability is an example of an extension to L<sup>A</sup>T<sub>E</sub>X provided by the uwthesis document class.

Most of the time T<sub>E</sub>X is simply building paragraphs from text in your source files. No control sequences are involved. New paragraphs are indicated by a blank line in the input file. Hyphenation is performed automatically.

---

<sup>1</sup>“Exactly” specifically excludes the inherent variety in print devices.

```
\chapter{A Brief\Description of \TeX}
```

```
The \TeX\ formatting program is the creation of
Donald Knuth of Stanford University.
```

Figure 2.1: The beginning of the Chapter II text

## 2.2 *TEXbooks*

The primary reference for L<sup>A</sup>T<sub>E</sub>X is Lamport's second edition of the *L<sup>A</sup>T<sub>E</sub>X User's Guide*[7]. It is easily read and should be sufficient for thesis formatting. See also the *L<sup>A</sup>T<sub>E</sub>X Companion*[2] for descriptions of many add-on macro packages.

Although unnecessary for thesis writers, the *TEXbook* is the primary reference for T<sub>E</sub>Xsperts worldwide.

## 2.3 *Mathematics*

The thesis class does not expand on T<sub>E</sub>X's or L<sup>A</sup>T<sub>E</sub>X's comprehensive treatment of mathematical equation printing.<sup>2</sup> The *TEXbook*[3], *L<sup>A</sup>T<sub>E</sub>X User's Guide*[7], and *The L<sup>A</sup>T<sub>E</sub>X Companion*[2] thoroughly cover this topic.

## 2.4 *Languages other than English*

Most L<sup>A</sup>T<sub>E</sub>X implementations at the University are tailored for the English language. However, L<sup>A</sup>T<sub>E</sub>X will format many other languages. Consult your department or contact the Center for Advanced Research Technology in the Arts and Humanities (CARTAH),

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<sup>2</sup>Although many T<sub>E</sub>X-formatted documents contain no mathematics except the page numbers, it seems appropriate that this paper, which is in some sense about T<sub>E</sub>X, ought to demonstrate an equation or two. Here then, is a statement of the *Nonsense Theorem*.

Assume a universe  $E$  and a symmetric function  $S$  defined on  $E$ , such that for each  $y$  there exists a  $\overline{y}$ , where  $y = \overline{\overline{y}}$ . For each element  $i$  of  $E$  define  $\mathcal{S}(i) = \sum_i y + \overline{y} + 0$ . Then if  $\mathcal{R}$  is that subset of  $E$  where  $1 + 1 = 3$ , for each  $i$

$$\lim_{s \rightarrow \infty} \int S di = \begin{cases} 0, & \text{if } i \notin \mathcal{R}; \\ \infty, & \text{if } i \in \mathcal{R}. \end{cases}$$

`cartha@u.washington.edu`,

for assistance with non-English formatting.

Unusual characters can be defined via the font maker METAFONT (documented by Knuth[6]). The definitions are not trivial. Students who attempt to print a thesis with custom fonts may soon proclaim,

*“ἀποθανεῖν θέλω.”*

## Chapter 3

**THE THESIS UNFORMATTED**

This chapter describes the `uwthesis` class (`uwthesis.cls`, version dated 2011/06/27) in detail and shows how it was used to format the thesis. A working knowledge of Lamport's  $\text{\LaTeX}$  manual[7] is assumed.

**3.1 The Control File**

The source to this sample thesis is contained in a single file only because ease of distribution was a concern. You should not do this. Your task will be much easier if you break your thesis into several files: a file for the preliminary pages, a file for each chapter, one for the glossary, and one for each appendix. Then use a control file to tie them all together. This way you can edit and format parts of your thesis much more efficiently.

Figure 3.1 shows a control file that might have produced this thesis. It sets the document style, with options and parameters, and formats the various parts of the thesis—but contains no text of its own.

The first section, from the `\documentclass` to the `\begin\{document\}`, defines the document class and options. This thesis has specified two-sided formatting, which is now allowed by the Graduate School. Two sided printing is now actually  $\text{\LaTeX}$ 's default. If you want one sided printing you must specify `oneside`. This sample also specified a font size of 11 points. Possible font size options are: `10pt`, `11pt`, and `12pt`. Default is 12 points, which is the preference of the Graduate School. If you choose a smaller size be sure to check with the Graduate School for acceptability. The smaller fonts can produce very small sub and superscripts.

Include most additional formatting packages with `\usepackage`, as describe by Lamport[7]. The one exception to this rule is the `natbib` package. Include it with the `natbib` document option.

Use the `\includeonly` command to format only a part of your thesis. See Lamport[7, sec. 4.4] for usage and limitations.

## 3.2 The Text Pages

A chapter is a major division of the thesis. Each chapter begins on a new page and has a Table of Contents entry.

### 3.2.1 Chapters, Sections, Subsections, and Appendices

Within the chapter title use a `\\` control sequence to separate lines in the printed title (recall Figure 2.1.). The `\\` does not affect the Table of Contents entry.

Format appendices just like chapters. The control sequence `\appendix` instructs  $\LaTeX$  to begin using the term ‘Appendix’ rather than ‘Chapter’.

Sections and subsections of a chapter are specified by `\section` and `\subsection`, respectively. In this thesis chapter and section titles are written to the table of contents. Consult Lamport[7, pg. 176] to see which subdivisions of the thesis can be written to the table of contents. The `\\` control sequence is not permitted in section and subsection titles.

### 3.2.2 Footnotes

Footnotes format as described in the  $\LaTeX$  book. You can also ask for end-of-chapter or end-of-thesis notes. The thesis class will automatically set these up if you ask for the document class option `chapternotes` or `endnotes`.

If selected, `chapternotes` will print automatically. If you choose `endnotes` however you must explicitly indicate when to print the notes with the command `\printendnotes`. See the style guide for suitable endnote placement.

### 3.2.3 Figures and Tables

Standard  $\LaTeX$  figures and tables, see Lamport[7, sec. C.9], normally provide the most convenient means to position the figure. Full page floats and facing captions are exceptions to this rule.

Figure 3.1: A thesis control file (`thesis.tex`). This file is the input to  $\text{\LaTeX}$  that will produce a thesis. It contains no text, only commands which direct the formatting of the thesis. This is also an example of a ‘facing page’ caption. It is guaranteed to appear on a lefthand page, facing the figure contents on the right. See the text.

```
% LaTeX thesis control file

\documentclass[11pt,twoside]{uwthesis}

\begin{document}

% preliminary pages
%
\prelimpages
\include{prelim}

% text pages
%
\textpages
\include{chap1}
\include{chap2}
\include{chap3}
\include{chap4}

% bibliography
%
\bibliographystyle{plain}
\bibliography{thesis}

% appendices
%
\appendix
\include{appxa}
\include{appxb}

\include{vita}
\end{document}
```

```

\begin{figure}[p]% the left side caption
  \begin{leftfullpage}
    \caption{ . . . }
  \end{leftfullpage}
\end{figure}
\begin{figure}[p]% the right side space
  \begin{fullpage}
    . . .
    ( note.. no caption here )
  \end{fullpage}
\end{figure}

```

Figure 3.2a: This text would create a double page figure in the two-side style.

If you want a figure or table to occupy a full page enclose the contents in a `fullpage` environment. See figures 3.2.

Facing page captions are described in the Style Manual[11]. They have different meanings depending on whether you are using the one-side or two-side thesis style.

If you are using the two-side style, facing captions are full page captions for full page figures or tables and must face the illustration to which they refer. You must explicitly format both pages. The caption part must appear on an even page (left side) and the figure or table must come on the following odd page (right side). Enclose the float contents for the caption in a `leftfullpage` environment, and enclose the float contents for the figure or table in a `fullpage` environment. Figure 3.1, for example, required a full page so its caption (on a facing caption page) would have been formatted as shown in figure 3.2a. The first page (left side) contains the caption. The second page (right side) could be left blank. A picture or graph might be pasted onto this space.

If instead you are using the one-side style, facing caption pages are still captions for full page figures or tables that appear on the left-hand page (facing the illustration on the right-hand page). However, the page number and binding offset are reversed from their normal positions. Format these captions by enclosing the float contents in a `leftfullpage`

```

\begin{figure}[p]
  \begin{leftfullpage}
    \caption{ . . . }
  \end{leftfullpage}
\end{figure}
\begin{figure}[p]% the right side space
  \begin{xtrafullpage}
    . . .
    ( note.. no caption here )
  \end{xtrafullpage}
\end{figure}

```

Figure 3.2b: This text would create a facing caption page with the accompanying figure in the one-side style.

environment. Because you are printing on only one side of each sheet, you must manually turn over this caption sheet. You then have the choice of inserting a preprinted illustration or formatting one to print with the thesis. In either case no page number should appear on the illustration page, nor should the page number increment. Enclose your figure's text in an `xtrafullpage` environment, which will cause the page numbers to come out right. You can, of course, leave out the illustration and insert a preprinted copy later. Figure 3.2b shows how to format a facing caption page in the one-side style. Note that, in this case, the illustration was also printed.

In the two-side style the `xtrafullpage` environment acts just like the `fullpage` environment. It does not produce a numberless page.

### 3.2.4 *Horizontal Figures and Tables*

Figures and tables may be formatted horizontally (a.k.a. landscape) as long as their captions appear horizontal also.  $\text{\LaTeX}$  will format landscape material for you if a couple of conditions are met. You have to have a printer and printer driver that allow rotations and you have to have a couple of add-on  $\text{\LaTeX}$  packages.

Include the `rotating` package

```

\begin{sidewaystable}
...
\caption{ . . . }
\end{sidewaystable}

```

Figure 3.3: This text would create a landscape table with caption.

```
\usepackage[figuresright]{rotating}
```

and read the documentation that comes with the package.

Figure 3.3 is an example of how a landscape table might be formatted.

### 3.2.5 *Figure and Table Captions*

Most captions are formatted with the `\caption` macro as described by Lamport[7, sec. C.9]. The uwthesis class extends this macro to allow continued figures and tables, and to provide multiple figures and tables with the same number, e.g., 3.1a, 3.1b, etc.

To format the caption for the first part of a figure or table that cannot fit onto a single page use the standard form:

```
\caption[toc]{text}
```

To format the caption for the subsequent parts of the figure or table use this caption:

```
\caption(-){(continued)}
```

It will keep the same number and the text of the caption will be (*continued*).

To format the caption for the first part of a multi-part figure or table use the format:

```
\caption(a)[toc]{text}
```

The figure or table will be lettered (with ‘a’) as well as numbered. To format the caption for the subsequent parts of the multi-part figure or table use the format:

```
\caption(x){text}
```

where *x* is b, c, .... The parts will be lettered (with ‘b’, ‘c’, ...).

### 3.3 The Preliminary Pages

These are easy to format only because they are relatively invariant among theses. Therefore the difficulties have already been encountered and overcome by L<sup>A</sup>T<sub>E</sub>X and the thesis document classes.

Start with the definitions that describe your thesis. This sample thesis was printed with the parameters:

```

\Title{The Suitability of the \LaTeX\ Text Formatter\
      for Thesis Preparation by Technical and\
      Non-technical Degree Candidates}
\Author{Jim Fox}
\Program{UW Information Technology}
\Year{2012}

\Chair{Name of Chairperson}{title}{Chair's department}
\Signature{First committee member}
\Signature{Next committee member}
\Signature{etc}

```

Use two or more `\Chair` lines if you have co-chairs.

#### 3.3.1 Copyright page

Print the copyright page with `\copyrightpage`.

#### 3.3.2 Title page

Print the title page with `\titlepage`. The title page of this thesis was printed with<sup>1</sup>

```

\titlepage

```

---

<sup>1</sup>Actually, it wasn't. I added a footnote—something you would not do.

You may change default text on the title page with these macros. You will have to redefine `\Degree{text}`, for instance, if you’re writing a Master’s thesis instead of a dissertation.<sup>2</sup>

`\Degree{degree name}` defaults to “Doctor of Philosophy”

`\School{school name}` defaults to “University of Washington”

`\Degree{degree text}` defaults to “A dissertation submitted . . .”

`\textofCommittee{committee label}` defaults to “Reading Committee:”

`\textofChair{chair label}` defaults to “Chair of the Supervisory Committee:”

These definitions must appear before the `\titlepage` command.

### 3.3.3 Abstract

Print the abstract with `\abstract`. It has one argument, which is the text of the abstract. All the names have already been defined. The abstract of this thesis was printed with

```
\abstract{This sample . . . ‘real’ dissertation.}
```

### 3.3.4 Tables of contents

Use the standard  $\LaTeX$  commands to format these items.

### 3.3.5 Acknowledgments

Use the `\acknowledgments` macro to format the acknowledgments page. It has one argument, which is the text of the acknowledgment. The acknowledgments of this thesis was printed with

```
\acknowledgments{The author wishes . . . {\it il miglior fabbro}.\par}}
```

---

<sup>2</sup>If you use these they can be included with the other information before `copyrightpage`.

### *3.3.6 Dedication*

Use the `\dedication` macro to format the dedication page. It has one argument, which is the text of the dedication.

### *3.3.7 Vita*

Use the `\vita` macro to format the curriculum vitae. It has one argument, which chronicles your life's accomplishments.

Note that the Vita is not really a preliminary page. It appears at the end of your thesis, just after the appendices.

## Chapter 4

**RUNNING L<sup>A</sup>T<sub>E</sub>X**  
(*AND PRINTING IF YOU MUST*)

T<sub>E</sub>X has been designed to produce exactly the same document on all computers and on all printers. *Exactly the same* means that the various spacings, line and page breaks, and even hyphenations will occur at the same places when the document is formatted on a variety of computers. However, the way you edit text files and run L<sup>A</sup>T<sub>E</sub>X varies from system to system.

**4.1 Running**

Unfortunately, the author is woefully out of water where T<sub>E</sub>X on Windows is concerned. Google would be his resource. On a UNIX system he types

```
$ pdflatex uwthesis
```

and it generally works.

**4.2 Printing**

All implementations of T<sub>E</sub>X provide the option of **pdf** output, which is all the Graduate School now requires. Even if you intend to print a copy or two of your thesis—the best way to admire it—create a **pdf** anyway. It will print anywhere.

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## Appendix A

**WHERE TO FIND THE FILES**

The `uwthesis` class file, `uwthesis.cls`, contains the parameter settings, macro definitions, and other  $\text{\TeX}$  commands which allow  $\text{\LaTeX}$  to format a thesis. The source to the document you are reading, `uwthesis.tex`, contains many formatting examples which you may find useful. The bibliography database, `uwthesis.bib`, contains instructions to BibTeX to create and format the bibliography. You can find the latest of these files on:

- My page.

<http://staff.washington.edu/fox/tex/uwthesis.html>

- CTAN

<http://tug.ctan.org/tex-archive/macros/latex/contrib/uwthesis/>

(not always as up-to-date as my site)

## VITA

Jim Fox is a Software Engineer with UW Information Technology at the University of Washington. His duties do not include maintaining this package. That is rather an avocation which he enjoys as time and circumstance allow.

He welcomes your comments to [fox@uw.edu](mailto:fox@uw.edu).